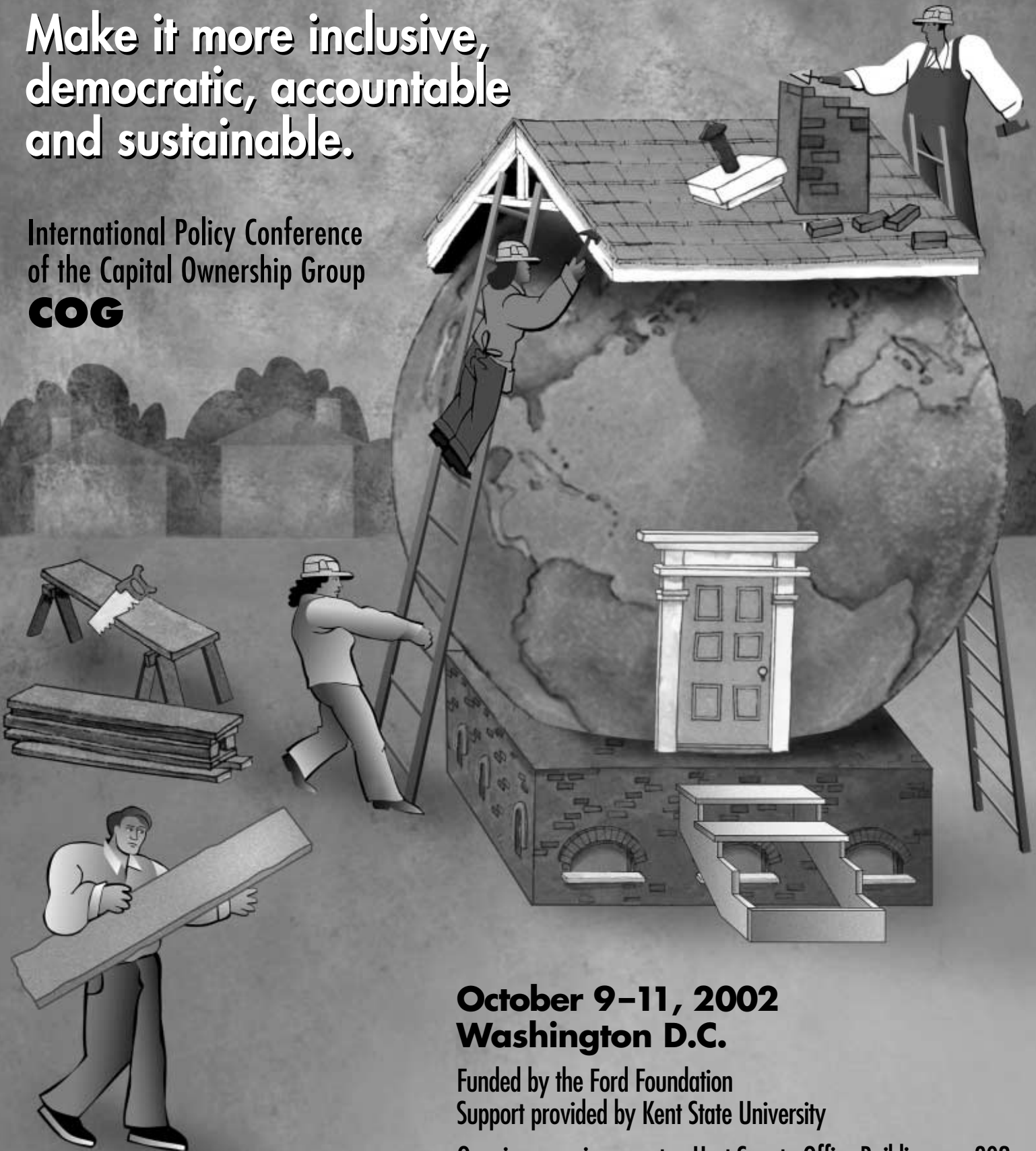


# Fix Globalization

Make it more inclusive,  
democratic, accountable  
and sustainable.

International Policy Conference  
of the Capital Ownership Group  
**COG**



**October 9-11, 2002**  
**Washington D.C.**

Funded by the Ford Foundation  
Support provided by Kent State University

Opening morning event — Hart Senate Office Building, rm 902  
All other events — Four Points Sheraton Hotel, 1201 K St. NW

# Globalization

affects everyone. Solving the complex problems it presents requires the cooperation of groups offering diverse remedies. Join us in building a strategic partnership to humanize globalization.

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## **COG** **Capital** **Ownership** **Group**

### **What is the Capital Ownership Group?**

The Capital Ownership Group (COG), a non-profit network of professionals, business, labor and government leaders and staff, academics and activists on six continents, works to broaden ownership to abate the negative effects of globalization.

### **COG Mission**

To create a coalition that promotes broadened ownership of productive capital, in order to reduce inequality of income and wealth; increase sustainable economic growth; expand opportunities for people to realize their productive and creative potential; stabilize local communities by improving living standards; and enhance the quality of life for all.

### **Programs include:**

- On-line conference center <http://cog.kent.edu> (hosting 15 working groups with over 602 participants) enabling collaborative research and a forum for development of policy proposals and implementation efforts (The site is navigable in English, Spanish, Hungarian, Russian and Chinese.);
- On-line library <http://cog.kent.edu/library.html> including research reports, case studies, proposed legislation;
- Creation and publication of the policy working groups' work available in the forthcoming book *Ownership for All* (summary now available on-line);
- International strategy and policy meetings for labor, business, academics and activists;
- A global outreach program expanding links and collaborative relationships with influential think tanks, constituency organizations, governments and agencies to raise the profile of productive asset ownership and control issues;
- Expanding the number and narrowing the focus of working groups to enable ongoing concrete action. COG has responded to over 1,200,000 data requests from people in 123 countries. COG is hosted by the Ohio Employee Ownership Center at Kent State University.

### **COG Executive Board & Advisory Committee**

+ denotes employee owned company

- Per Åhlström**, President, Framtid, Norr, Sweden  
**Gar Alperovitz**, University of Maryland  
+ **Clark Arrington**, The Open University of Tanzania  
**Itil Asmon**, Freelance Consultant  
**Margaret Blair**, Georgetown University Law Center  
+ **Norm Brennan**, Retired CEO, Dimco-Gray  
**Hon. Carolyn Cheeks Kilpatrick**, Congresswoman, 15th District, Michigan  
**Carla Dickstein**, Senior Program Officer, Coastal Enterprises, Inc.  
**David Ellerman**, Senior Economic Advisor, The World Bank  
**Richard B. Freeman**, Harvard University  
**Leo Gerard**, President, United Steelworkers of America  
**Mary Ellen Gondek**, Sisters of St. Joseph  
+ **Jennifer Herren**, United Airlines  
**Marjorie Kelly**, Editor, Business Ethics  
+ **Mary Landry**, Chair, Maryland Brush Company, and President, Maryland Labor Education Association  
**Julia Markus**, Executive Director, Employee Ownership Association, Canada  
**Judith Mastin**, Union Bank of California  
**Marc Mathieu**, General Secretary, European Federation of Employee Shareownership  
**Karen May**, President, Ariza Ownership Ventures, Inc.  
**Martin Mayer**, General Executive Council, Transport & General Workers Union UK  
**David Morris**, Vice President, Institute for Local Self-Reliance  
**Bengeza Mthombeni**, General Secretary, Paper Printing, Woods and Allied Workers Union, South Africa  
**Ralph Nader**, Consumer Advocate  
**Maria Adela Oliveros de Miranda**, Executive Director Roberto Oliveros Foundation, Mexico  
**Corey Rosen**, Executive Director, National Center for Employee Ownership  
**Manuel Riesco**, CENDA, Chile  
+ **Robert H. Scarlett**, Chairman, Durex Products  
**René Schindler**, Sekretär, Metal Workers Labor Federation, Austria  
**John Simmons**, President, Participation Associates  
**Joan Stockinger**, North Country Cooperative Development Fund  
**Leslie Swanson**, Leslie Swanson & Associates Pte. Ltd.  
**Vic Thorpe**, Just Solutions, Belgium  
**Shann Turnbull**, Australian Employee Ownership Association  
**Francine Van Der Bulcke**, Katholieke Universiteit, Belgium  
+ **Gustavo Velasquez**, CEO, Uprovisa, Mexico  
**Lynn Williams**, Retired President, United Steelworkers of America

**Deborah Groban Olson**, COG Executive Director

OEOC  
309 Franklin Hall  
Kent State University  
Kent, OH 44242



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Ownership  
Group

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# Preliminary Agenda

## Wednesday October 9

8 a.m. - 11:30 a.m.  
at the Hart Senate Office Building (Room 902),  
Second and Constitution Avenue

11:30 a.m. - 5 p.m.  
at Four Points Sheraton Hotel, 1201 K Street NW

### Registration and continental breakfast

8 a.m. - 11:30 a.m., Room 902, Hart Senate Office Building

### Capitol Hill briefing on fixing globalization with locally anchored capital

9 a.m. - 11:30 a.m., Room 902, Hart Senate Office Building

- Who wins and loses as global corporations acquire more concentrated control over wealth and decision making, and what can we do about it?
- Examples and statistical evidence that employee ownership is a good investment that helps job creation, retention, community reinvestment, asset building, employee benefits, and may improve health and education
- Don't let Enron kill opportunities for local control
- Stock for government investment in private companies - beyond the airline bailout
- And other practical proposals to increase local control through ownership



Keynote speech:  
**by William Greider**

12:30 p.m. - 2 p.m.,  
Four Points Sheraton Hotel

Who wins and loses when wealth and decision-making are concentrated in large global companies?

Overview of Solutions to Humanize Globalization

Concurrent workshops:

### Successful employee ownership

2:15 p.m. - 3:30 p.m., Four Points Sheraton Hotel

- Successful employee-owned companies and supportive local policies
- Successful national and transnational employee ownership practices
- When does privatization work for workers?

Concurrent workshops:

### Capital strategies for labor

3:45 p.m. - 5:30 p.m., Four Points Sheraton Hotel

- Labor venture funds and pension investment strategies
- Labor's use of employee ownership
- Social investment models that work - and why outside investors choose to invest in employee ownership

### Reception

5:45 p.m. - 7:15 p.m., Capital Garden - Roof Top  
Four Points Sheraton Hotel

## Thursday October 10

8:00 a.m. - 5 p.m.  
at Four Points Sheraton Hotel, 1201 K Street NW

### Registration & continental breakfast

8 a.m. - 8:45 a.m.

Concurrent workshops:

### Building local economic muscle

9 a.m. - 10:15 a.m.

- How modern cooperatives succeed in global competition with locally controlled companies (Mondragon vs. Rochdale models)
- Employee ownership in developing countries
- Cooperatives ownership in community economic development; and Individual Development Accounts

Concurrent workshops:

### New policies for corporate accountability to citizens and stakeholders

10:30 a.m. - 11:45 p.m.

- Beyond the airline bail out - Protecting citizen franchise when government invests in private business; corporate responsibility and ESOPs
- International agencies and central bank roles in broadening capital ownership
- Redefining the corporation - Contract and accountability

Keynote speech:

### Globalization, Decent Work and Ownership: The ILO Perspective

12 p.m. - 1:30 p.m. lunch

By Mark Levin, Cooperative Branch, International Labour Organization

Concurrent workshops:

### Protecting the citizen franchise

1:45 p.m. - 3 p.m.

- Threats to and responses from local government
- Models of a stakeholder society
- Trade reform, bargaining, education, tax and deployment approaches to tame globalization

### Time to coordinate strategies

3:15 p.m. - 5 p.m.

Call to action - Challenges and next steps for companies, opinion leaders, labor leaders, NGOs, social investors, policy makers, activists and researchers and introduction to COG October 11 strategy session.

### Informal Dinner

6:30 p.m.

COG and new coalition members  
(dinner is not included in registration fee)

## Friday, October 11

8:00 a.m. – 3 p.m.

at Four Points Sheraton Hotel, 1201 K Street NW

Strategy Meeting:

### Next steps for COG and new coalition members

Continental breakfast, 8 a.m. – 9 a.m.

### Meeting of organizations concerned with globalization

9 a.m. – 3 p.m.

Focusing on concrete mutual aid and strategic planning for joint work, including future uses of COG network (includes a working lunch.)

## Conference Registration

Please check the days that you wish to attend:

Wednesday, 10/9/02

Thursday, 10/10/02

Friday, 10/11/02

(Only available to people attending Day 1 and 2)

	Before 9/10/02	Before 10/8/02	After 10/8/02
1 Day	\$125	\$150	
2 Days	\$195	\$250	
3 Days	\$250	\$295	
At door	-	-	\$495

**Registration includes** continental breakfast, lunch, materials both days, and reception

**Fee waived** for government legislative, executive branch or embassy staff with official identification.

Name \_\_\_\_\_

Organization \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_

State/Province \_\_\_\_\_

Country \_\_\_\_\_

Zip code/Postal code \_\_\_\_\_

Phone \_\_\_\_\_

Fax \_\_\_\_\_

Email \_\_\_\_\_

**Make check payable to:** OEOC – KSU Foundation

### Mail registration form and payment to:

309 Franklin Hall, Kent State University, Kent, OH 44242

**Room reservations** can be made at the special conference rate of \$179 per room (single or double) by calling the Four Points Sheraton Hotel, Washington D.C. at (202) 289-7600 and mentioning the Capital Ownership Group Conference.

## Conference focus

This conference highlights global problems caused by increasing concentration of capital and decision-making and poses solutions. We have two major aims in this conference:

- Raising the profile with policy makers of asset ownership, featuring employee ownership, best practices and policy directions;
- Bringing together organizations which share our concerns about globalization, but focus on other solutions — so that we may find common objectives, where they exist, and help one another.

## Who should attend?

Policy makers, corporate, government, labor, and community leaders, social investors, economists, attorneys, accountants, researchers and writers concerned about where globalization is taking us and interested in realistic proposals for change.

## For more information

Please visit our website at <http://cog.kent.edu>

**For questions** about the Capital Ownership Group (COG) or the conference call 313-331-7821 or e-mail [cog@kent.edu](mailto:cog@kent.edu).

### For registration:

call: 330-672-3028, fax: 330-672-4063,

mail: 309 Franklin Hall, Kent State University, Kent, OH 44242

email: [cog@kent.edu](mailto:cog@kent.edu)

**For room reservations** call the Four Points Sheraton Hotel, Washington D.C. at (202) 289-7600 and mention the Capital Ownership Group Conference.

## Co-sponsors to date

Asociacion Nacional de Fondos de Empleados en Colombia

Beyster Institute

Carey Center for Democratic Capitalism

Coastal Enterprises, Inc.

Co-op America

Corporation for Enterprise Development (CFED)

Democracy Collaborative, University of Maryland

Development Group for Alternative Policies (D'GAP)

Economic Policy Institute (EPI)

Equal Exchange

European Federation of Employee Shareownership (EFES)

The Fair Trade Federation (FTF)

The Ford Foundation

German Marshall Fund of the United States (GMF)

Grassroots Economic Organizing (GEO)

Harrison Institute for Public Law

Institute for America's Future (IAF)

International Labour Organization (ILO)

International Union of Boilermakers

Johanson Berenson LLP

Mary Landry

Maryland Labor Education Association (MLEA)

National Center for Employee Ownership (NCEO)

National Cooperative Business Association (NCBA)

Ohio Employee Ownership Center of Kent State University (OEOC)

PACE International Union

Shared Equity Strategies, Inc.

Sisters of St. Joseph (SSJ)

Robert Smiley, Jr.

TransFair USA

Union Network International (UNI)

# Speakers

---

**William Greider,**

Author, *One World Ready or Not*, US-Keynote speaker

**Mark Levin,**

International Labour Organization (ILO), Co-operative Branch Staff, Switzerland, Keynote speaker

**Gar Alperovitz,**

University of Maryland, Democracy Collaborative, US

**Clark Arrington, (invited)**

The Open University of Tanzania

**Colleen Barrett, (invited)**

Southwest Airlines, US

**Robert Beyster, (invited)**

Chairman & CEO, Science Applications International Corporation (SAIC), US

**David Binns,**

Associate Director, The Beyster Institute, US

**Ron Blackwell,**

Director of Corporate Affairs, AFL-CIO, US

**Joseph Cabral, (invited)**

Chatsworth Products, Inc., US

**Ray Carey,**

Carey Center for Democratic Capitalism, US

**Adrian Celaya,**

General Secretary, Mondragon Corporacion Cooperativa (MCC), Spain

**Maria Elena Chavez, (invited)**

International Cooperative Alliance (ICA), Switzerland

**Hon. Carolyn Cheeks Kilpatrick, (invited)**

US House of Representatives

**Ben Cohen, (invited)**

Sweat X and Hot Fudge Partners, US

**Hon. John Corzine, (invited)**

US Senate

**Carla Dickstein,**

Coastal Enterprises, US

**David Ellerman,**

Senior Economic Advisor, World Bank, US

**David Erdal,**

Tullis Russell Corp., Scotland

**Juan Guillermo Espinosa,**

University of Chile, Chile

**Rob Everts,**

Equal Exchange

**Leo Gerard, (invited)**

President, United Steelworkers of America (USWA), US & Canada

**Situ Gongyun,**

China Institute for Reform and Development, China

**Paul Hazen,**

President & CEO, National Cooperative Business Association (NCBA), US

**Tim Huet, (invited)**

Center for Democratic Solutions, US

**David Johanson,**

Johanson Berenson LLP, ESOP attorneys, US

**Hon. Marcie Kaptur, (invited)**

US House of Representatives

**Michael Keeling, (invited)**

Executive Director, ESOP Association, US

**Eugene Keilin,**

Managing Principal, KPS Special Situations Fund and Keilin and Company, US

**Marjorie Kelly,**

Editor, *Business Ethics*, US

**Hon. Edward Kennedy, (invited)**

US Senate

**Norm Kurland,**

Center for Economic and Social Justice (CESJ), US

**Matthew Lea,**

National Center for Employee Ownership (NCEO), US

**Greg LeRoy, (invited)**

Good Jobs First, US

**Chris Mackin, (invited)**

Shared Capitalism Institute, US

**Race Mathews,**

Author, *Jobs of Our Own: Building a Stakeholder Society*, formerly Australian MP and Chief of Staff to Prime Minister Gough Whitlam, Australia

**Marc Mathieu,**

General Secretary, European Federation of Employee Shareownership (EFES), Belgium

**Karen May,**

President, Ariza Ownership Ventures, US

**Terry "Moose" Millard, (invited)**

Southwest Airlines, US

**Ravi Naidoo,**

Director, National Labour & Economic Development Institute (NALEDI), South Africa

**Jessica Gordon Nembhard,**

University of Maryland, Democracy Collaborative, US

**Chris O'Brien,**

Co-op America, US

**Maria Oliveros de Miranda, (invited)**

Roberto Oliveros Foundation, Mexico

**Erik Poutsma,**

University of Nijmegen Business School, The Netherlands

**Phillip Reynolds, (invited)**

President, Union Network International (UNI), US

**Paul Rice,**

TransFair USA, US

**Bruno Roelants, (invited)**

European Confederation of Workers: Co-operatives, Social Cooperatives, and Participative Enterprises (CECOP), Belgium

**Hon. Dana Rohrabacher, (invited)**

US House of Representatives

**Bill Schweke,**

Corporation for Enterprise Development (CFED), US

**Don Skiados, (invited)**

Airline Pilots Association (ALPA), US

**Robert Smith, (invited)**

PACE International Union/Blue Ridge Paper, US

**Hon. Debbie Stabenow, (invited)**

US Senate

**Vic Thorpe,**

Former General Secretary, International Federation of Chemical, Energy, Mine and General Workers' Unions (ICEM), Belgium

**Shann Turnbull, (invited)**

Author, *Democratizing the Wealth of Nations*, Australia

**Keith Wilde, (invited)**

Economist, Canadian Pension System, Canada

**Lynn Williams,**

Retired President, United Steelworkers of America, Canada